

THIS IS THE BEGINNING OF ADMINISTRATIVE FINE CASE # 3339



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

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FEDERAL ELECTION COMMISSION
SEP 5 2017

2017 SEP -5 AM 10:41

SENSITIVE

September 5, 2017

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Kristin D. Roser *KR*
Compliance Branch

SUBJECT: Reason to Believe Recommendation -
Failure to File 48-Hour Notices under the Administrative Fine Program

Attached is the name of a principal campaign committee that has failed to file 48-hour notices with the Commission for contributions of \$1,000.00 or more received from the close of books for the Pennsylvania 30 Day Post-General Report up to 48 hours before the November 8th, 2016 General Election in accordance with 52 U.S.C. § 30104(a) and 11 CFR. § 104.5(f). The committee, Katie McGinty for Senate, represents a candidate who lost the General Election. The committee is being referred for failing to file 48-hour notices for contributions totaling \$97,990.00.

A 48-hour notice is required to report all contributions of a \$1,000.00 or more, to any authorized committee of a candidate, including contributions from the candidate, loans from the candidate and other non-bank sources and endorsements or guarantees of loans from banks, as per 11 CFR § 104.5(f).

We have attached an information sheet which includes the contributor name, date of receipt and amount of the contributions for which a 48-hour notice was not filed.

In accordance with the schedule of civil money penalties outlined within 11 CFR § 111.44, this committee should be assessed the civil money penalty so indicated.

Recommendation

1. Find reason to believe that Katie McGinty for Senate and Roberta Golden, in her official capacity as treasurer, violated 52 U.S.C. § 30104(a) and make a preliminary determination that a civil money penalty of \$10,077 be assessed.
2. Send the appropriate letter.

Attachment

CONFIDENTIAL

Contributions for Which a 48-Hour Notice Was Not Received

AF 3339

Committee ID: C00582809

Committee Name: Katie McGinty for Senate

Report Type: 30 Day Post-General Report (10/20/2016 – 11/28/2016)

48-Hour Reporting Period: 10/20/2016 – 11/05/2016

CONTRIBUTOR	DATE OF RECEIPT BY COMMITTEE	DATE OF RECEIPT BY CONDUIT	AMOUNT	CONDUIT
ACHESON, ELEANOR D	10/23/16	10/23/16	\$1,000.00	ACTBLUE
ALFANO, MARK	10/23/16	10/23/16	\$1,000.00	ACTBLUE
ANDERSON, ERIC	10/23/16	10/22/16	\$1,000.00	ACTBLUE
AREY, LORENE	10/23/16	10/23/16	\$1,000.00	ACTBLUE
BLOOM, RON	10/23/16	10/21/16	\$2,700.00	ACTBLUE
BURGHEIM, RICHARD	10/23/16	10/21/16	\$1,000.00	ACTBLUE
CAMP, MICHAEL	10/23/16	10/22/16	\$2,700.00	ACTBLUE
COLLIER, SOPHIA	10/23/16	10/23/16	\$2,700.00	ACTBLUE
CRANE, STEPHEN G	10/23/16	10/22/16	\$1,000.00	ACTBLUE
DUNFIELD, NATHAN	10/23/16	10/23/16	\$1,540.00	ACTBLUE
FIDDLER, JERRY	10/23/16	10/21/16	\$1,000.00	ACTBLUE
FOLSE, PARKER	10/23/16	10/23/16	\$2,700.00	ACTBLUE
GAFFORD, GRADY	10/23/16	10/22/16	\$2,000.00	ACTBLUE
GUTHRIE, BARRETT	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HEINZ, ANDRE	10/23/16	10/23/16	\$2,700.00	ACTBLUE
HOLCOMB, TYLER	10/23/16	10/21/16	\$2,700.00	ACTBLUE
HOWE, DAVID	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HUDSON, CLIFFORD J	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HUDSON, CLIFFORD J	10/23/16	10/22/16	\$1,000.00	ACTBLUE
JOHNSON, KEITH	10/23/16	10/22/16	\$1,000.00	ACTBLUE
KIANI, JOE	10/23/16	10/21/16	\$2,700.00	ACTBLUE
KIANI, SARAH	10/23/16	10/21/16	\$2,700.00	ACTBLUE
KNIGHT, JOHN	10/23/16	10/21/16	\$2,700.00	ACTBLUE
LOROWITZ, AMY	10/23/16	10/21/16	\$1,000.00	ACTBLUE
LOW, STEPHANIE	10/23/16	10/21/16	\$2,700.00	ACTBLUE
LYONS, JAMES R	10/23/16	10/22/16	\$1,000.00	ACTBLUE
MCGEADY, STEVEN	10/23/16	10/22/16	\$2,700.00	ACTBLUE
MILLIKEN, GERRISH	10/23/16	10/22/16	\$1,000.00	ACTBLUE
MIRAPPAUL, MATTHEW	10/23/16	10/23/16	\$1,000.00	ACTBLUE
PATRICK, KATHY	10/23/16	10/22/16	\$2,700.00	ACTBLUE

RICKARD, STEPHEN	10/23/16	10/22/16	\$1,000.00	ACTBLUE
ROE, DAVID	10/23/16	10/22/16	\$1,000.00	ACTBLUE
SACKLER, BETH	10/23/16	10/23/16	\$1,500.00	ACTBLUE
SCHWARTZ, STEVEN	10/23/16	10/23/16	\$1,000.00	ACTBLUE
SRIKANT, RAM	10/23/16	10/21/16	\$1,000.00	ACTBLUE
WEINER, ANN	10/23/16	10/23/16	\$1,000.00	ACTBLUE
WHITMAN, LOIS	10/23/16	10/23/16	\$1,000.00	ACTBLUE
WILLIAMS, CHARLES	10/23/16	10/23/16	\$1,750.00	ACTBLUE
YAFFE, MAX	10/23/16	10/21/16	\$1,000.00	ACTBLUE
ALLEN, DAVID	10/30/16	10/28/16	\$1,000.00	ACTBLUE
ANDERSON, MYRA	10/30/16	10/28/16	\$1,000.00	ACTBLUE
BENTZEN, JAYNE	10/30/16	10/29/16	\$1,500.00	ACTBLUE
BODINE, ANN	10/30/16	10/29/16	\$1,000.00	ACTBLUE
BULMAN-POZEN, JESSICA	10/30/16	10/30/16	\$1,500.00	ACTBLUE
CALE, BENNETT	10/30/16	10/30/16	\$1,000.00	ACTBLUE
CHERRY, MYRON	10/30/16	10/28/16	\$1,000.00	ACTBLUE
CROWN, KAYE	10/30/16	10/28/16	\$1,500.00	ACTBLUE
FIX, ALLAN	10/30/16	10/29/16	\$1,000.00	ACTBLUE
GERHARDT, ANN	10/30/16	10/28/16	\$1,000.00	ACTBLUE
HEINEMAN, BEN	10/30/16	10/30/16	\$1,000.00	ACTBLUE
HENDLER, FRED	10/30/16	10/30/16	\$1,000.00	ACTBLUE
KELTON, ERIKA	10/30/16	10/30/16	\$1,000.00	ACTBLUE
LABOVITZ, GAIL	10/30/16	10/28/16	\$1,000.00	ACTBLUE
LEAKE, PAUL	10/30/16	10/28/16	\$1,000.00	ACTBLUE
LEVIN, BRUCE H	10/30/16	10/28/16	\$1,000.00	ACTBLUE
MORGAN, KEITH	10/30/16	10/29/16	\$1,000.00	ACTBLUE
PALLADINO, DANIEL	10/30/16	10/28/16	\$1,000.00	ACTBLUE
PERELMAN, GREGG	10/30/16	10/30/16	\$2,700.00	ACTBLUE
PITTS, BRADLEY	10/30/16	10/29/16	\$1,000.00	ACTBLUE
PRESTON, LESLIE	10/30/16	10/29/16	\$1,000.00	ACTBLUE
RANDALL, MARNY	10/30/16	10/28/16	\$1,000.00	ACTBLUE
SANDERS, IAN	10/30/16	10/30/16	\$1,000.00	ACTBLUE
SLATER, JILL	10/30/16	10/28/16	\$2,700.00	ACTBLUE
SMOGER, GERSON	10/30/16	10/28/16	\$1,000.00	ACTBLUE
WALLER, ELIZABETH	10/30/16	10/29/16	\$2,700.00	ACTBLUE

Federal Election Commission
Reason to Believe Circulation Report
48-Hour Notification Report

8/31/2017 2:16 PM

AF#	Committee ID	Committee Name	State	Election	Candidate Name	Treasurer	Prev Violations	Notices Not Filled	LOA	Penalty
3339	C00582808	KATIE MCGINTY FOR SENATE	PA	2018	KATHLEEN ALANA MCGINTY	ROBERTA GOLDEN	0	2	\$97,990	\$10,077

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) AF 3339
Reason to Believe Recommendation -)
Failure to File 48-Hour Notices under the)
Administrative Fine Program: Katie)
McGinty for Senate and Roberta Golden,)
in her official capacity as treasurer)

CERTIFICATION

I, Dayna C. Brown, Secretary and Clerk of the Federal Election
Commission, do hereby certify that on September 08, 2017, the Commission
decided by a vote of 5-0 to take the following actions in AF 3339:

1. Find reason to believe that Katie McGinty for Senate and Roberta Golden, in her official capacity as treasurer, violated 52 U.S.C. § 30104(a) and make a preliminary determination that a civil money penalty of \$10,077 be assessed.
2. Send the appropriate letter.

Commissioners Goodman, Hunter, Petersen, Walther, and Weintraub voted
affirmatively for the decision.

Attest:

September 8, 2017
Date

Dayna C. Brown
Dayna C. Brown
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

September 11, 2017

Roberta Golden, in official capacity as Treasurer
Katie McGinty for Senate
P.O. Box 22447
Philadelphia, PA 19110

C00582809
AF#: 3339

Dear Ms. Golden:

The Federal Election Campaign Act of 1971, as amended, 52 U.S.C. § 30101, *et seq.* ("the Act"), requires principal campaign committees of candidates for federal office to notify in writing either the Secretary of the Senate or the Federal Election Commission ("FEC"), and the Secretary of State, as appropriate, of any contribution of \$1,000 or more, received by any authorized committee of the candidate after the 20th day, but more than 48 hours before, any election. 52 U.S.C. § 30104(a)(6)(A). The Act further requires notification to be made within 48 hours after the receipt of the contribution and to include the name of the candidate and office sought, the date of receipt, the amount of the contribution, and the identification of the contributor. *Id.* These notification requirements are in addition to all other reporting requirements. 52 U.S.C. § 30104(a). Our records indicate that Katie McGinty for Senate did not submit 48-Hour Notices for contributions of \$1,000 or more, received between October 20, 2016 and November 5, 2016, totaling \$97,990, as required by 52 U.S.C. § 30104(a)(6)(A). Attachment 1.

The Act permits the FEC to impose civil money penalties for violations of the reporting requirements of 52 U.S.C. § 30104(a). 52 U.S.C. § 30109(a)(4). On September 8, 2017, the FEC found that there is Reason to Believe ("RTB") that Katie McGinty for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) by failing to file the 48-Hour Notices. Based on the FEC's schedule of civil money penalties at 11 CFR § 111.44, the amount of your civil money penalty calculated at the RTB stage is \$10,077. Please see the attached copy of the Commission's administrative fine regulations at 11 CFR §§ 111.30-111.55. Attachment 2. The Commission's website contains further information about how the administrative fine program works and how the fines are calculated. <http://www.fec.gov/af/af.shtml>. 11 CFR § 111.34. The amount of the civil money penalty is \$139 for each non-filed notice plus 10 percent of the dollar amount of the contributions not timely reported. The civil money penalty increases by 25 percent for each prior violation. Send your payment of \$10,077 within forty (40) days of the finding, or by October 18, 2017.

At this juncture, the following courses of action are available to you:

If you should decide to challenge the RTB finding and/or calculated civil money penalty, you must submit a written response to the FEC's Office of Administrative Review, 999 E Street, NW, Washington, DC 20463. Your response must include the AF# (found at the top of page 1 under your committee's identification number) and be received within forty (40) days of the Commission's RTB finding, or October 18, 2017. 11 CFR § 111.35(a). Your written response must include the reason(s) why you are challenging the RTB finding and/or calculated civil money penalty, and must include the factual basis supporting the reason(s) and supporting documentation. The FEC strongly encourages that documents be submitted in the form of affidavits or declarations. 11 CFR § 111.36(c).

The “failure to raise an argument in a timely fashion during the administrative process shall be deemed a waiver” of your right to present such argument in a petition to the U.S. District Court under 52 U.S.C. § 30109. 11 CFR § 111.38.

2. If You Choose Not to Pay the Civil Money Penalty and Not to Submit a Challenge

Unpaid civil money penalties assessed through the Administrative Fine regulations will be subject to the Debt Collection Act of 1982 ("DCA"), as amended by the Debt Collection Improvement Act of 1996, 31 U.S.C. § 3701 *et seq.* The FEC may take any and all appropriate

action authorized and required by the DCA, as amended, including transfer to the U.S. Department of the Treasury for collection. 11 CFR § 111.51(a)(2).

3. If You Choose to Pay the Civil Money Penalty

If you should decide to pay the calculated civil money penalty, follow the payment instructions on page 4 of this letter. Upon receipt of your payment, the FEC will send you a final determination letter.

NOTICE REGARDING PARTIAL PAYMENTS AND SETTLEMENT OFFERS

4. Partial Payments

If you make a payment in an amount less than the calculated civil money penalty, the amount of your partial payment will be credited towards the full civil money penalty that the Commission assesses upon making a final determination.

5. Settlement Offers

Any offer to settle or compromise a debt owed to the Commission, including a payment in an amount less than the calculated civil money penalty assessed or any restrictive endorsements contained on your check or money order or proposed in correspondence transmitted with your check or money order, will be rejected. Acceptance and deposit or cashing of such a restricted payment does not constitute acceptance of the settlement offer. Payments containing restrictive endorsements will be deposited and treated as a partial payment towards the civil money penalty that the Commission assesses upon making a final determination. All unpaid civil money penalty amounts remaining will be subject to the debt collection procedures set forth in Section 2, above.

This matter was generated based on information ascertained by the FEC in the normal course of carrying out its supervisory responsibilities. 52 U.S.C. § 30109(a)(2). Unless you notify the FEC in writing that you wish the matter to be made public, it will remain confidential in accordance with 52 U.S.C. § 30109(a)(4)(B) and 30109(a)(12)(A) until it is placed on the public record at the conclusion of this matter in accordance with 11 CFR § 111.42.

As noted earlier, you may obtain additional information on the FEC's administrative fine program, including the final regulations, on the FEC's website at <http://www.fec.gov/af/af.shtml>. If you have questions regarding the payment of the calculated civil money penalty, please contact Aimee Wechsler in the Reports Analysis Division at our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130. If you have questions regarding the submission of a challenge, please contact the Office of Administrative Review at our toll free number (800) 424-9530 (press 0, then ext. 1660) or (202) 694-1660.

On behalf of the Commission,



Steven T. Walther
Chair

ADMINISTRATIVE FINE REMITTANCE & PAYMENT INSTRUCTIONS

In accordance with the schedule of penalties at 11 CFR § 111.44, the amount of your civil money penalty calculated at RTB is \$10,077 for the 2016 General Election 48-Hour Notification Report.

You may remit payment by ACH withdrawal from your bank account, or by debit or credit card through Pay.gov, the federal government's secure portal for online collections. Visit www.fec.gov/af/pay.shtml to be directed to Pay.gov's Administrative Fine Program Payment form.

This penalty may also be paid by check or money order, made payable to the Federal Election Commission. It should be sent by mail to:

Federal Election Commission
P.O. Box 979058
St. Louis, MO 63197-9000

If you choose to send your payment by courier or overnight delivery, please send to:

U.S. Bank - Government Lockbox
FEC #979058
1005 Convention Plaza
Attn: Government Lockbox, SL-MO-C2GL
St. Louis, MO 63101

PAYMENTS BY PERSONAL CHECK

Personal checks will be converted into electronic funds transfers (EFTS). Your account will be electronically debited for the amount on your check, usually within 24 hours, and the debit will appear on your regular statement. We will destroy your original check and keep a copy of it. In case the EFT cannot be processed for technical reasons, you authorize us to process the copy in lieu of the original check. Should the EFT not be completed because of insufficient funds, we may try to make the transfer twice.

PLEASE DETACH AND RETURN THE PORTION BELOW WITH YOUR PAYMENT

FOR: Katie McGinty for Senate

FEC ID#: C00582809

AF#: 3339

PAYMENT DUE DATE: October 18, 2017

PAYMENT AMOUNT DUE: \$10,077

Contributions for Which a 48-Hour Notice Was Not Received

AF 3339

Committee ID: C00582809

Committee Name: Katie McGinty for Senate

Report Type: 30 Day Post-General Report (10/20/2016 – 11/28/2016)

48-Hour Reporting Period: 10/20/2016 – 11/05/2016

CONTRIBUTOR	DATE OF RECEIPT BY COMMITTEE	DATE OF RECEIPT BY CONDUIT	AMOUNT	CONDUIT
ACHESON, ELEANOR D	10/23/16	10/23/16	\$1,000.00	ACTBLUE
ALFANO, MARK	10/23/16	10/23/16	\$1,000.00	ACTBLUE
ANDERSON, ERIC	10/23/16	10/22/16	\$1,000.00	ACTBLUE
AREY, LORENE	10/23/16	10/23/16	\$1,000.00	ACTBLUE
BLOOM, RON	10/23/16	10/21/16	\$2,700.00	ACTBLUE
BURGHEIM, RICHARD	10/23/16	10/21/16	\$1,000.00	ACTBLUE
CAMP, MICHAEL	10/23/16	10/22/16	\$2,700.00	ACTBLUE
COLLIER, SOPHIA	10/23/16	10/23/16	\$2,700.00	ACTBLUE
CRANE, STEPHEN G	10/23/16	10/22/16	\$1,000.00	ACTBLUE
DUNFIELD, NATHAN	10/23/16	10/23/16	\$1,540.00	ACTBLUE
FIDDLER, JERRY	10/23/16	10/21/16	\$1,000.00	ACTBLUE
FOLSE, PARKER	10/23/16	10/23/16	\$2,700.00	ACTBLUE
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GUTHRIE, BARRETT	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HEINZ, ANDRE	10/23/16	10/23/16	\$2,700.00	ACTBLUE
HOLCOMB, TYLER	10/23/16	10/21/16	\$2,700.00	ACTBLUE
HOWE, DAVID	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HUDSON, CLIFFORD J	10/23/16	10/23/16	\$1,000.00	ACTBLUE
HUDSON, CLIFFORD J	10/23/16	10/22/16	\$1,000.00	ACTBLUE
JOHNSON, KEITH	10/23/16	10/22/16	\$1,000.00	ACTBLUE
KIANI, JOE	10/23/16	10/21/16	\$2,700.00	ACTBLUE
KIANI, SARAH	10/23/16	10/21/16	\$2,700.00	ACTBLUE
KNIGHT, JOHN	10/23/16	10/21/16	\$2,700.00	ACTBLUE
LOROWITZ, AMY	10/23/16	10/21/16	\$1,000.00	ACTBLUE
LOW, STEPHANIE	10/23/16	10/21/16	\$2,700.00	ACTBLUE
LYONS, JAMES R	10/23/16	10/22/16	\$1,000.00	ACTBLUE
MCGEADY, STEVEN	10/23/16	10/22/16	\$2,700.00	ACTBLUE
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PATRICK, KATHY	10/23/16	10/22/16	\$2,700.00	ACTBLUE

RICKARD, STEPHEN	10/23/16	10/22/16	\$1,000.00	ACTBLUE
ROE, DAVID	10/23/16	10/22/16	\$1,000.00	ACTBLUE
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SRIKANT, RAM	10/23/16	10/21/16	\$1,000.00	ACTBLUE
WEINER, ANN	10/23/16	10/23/16	\$1,000.00	ACTBLUE
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WILLIAMS, CHARLES	10/23/16	10/23/16	\$1,750.00	ACTBLUE
YAFFE, MAX	10/23/16	10/21/16	\$1,000.00	ACTBLUE
ALLEN, DAVID	10/30/16	10/28/16	\$1,000.00	ACTBLUE
ANDERSON, MYRA	10/30/16	10/28/16	\$1,000.00	ACTBLUE
BENTZEN, JAYNE	10/30/16	10/29/16	\$1,500.00	ACTBLUE
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BULMAN-POZEN, JESSICA	10/30/16	10/30/16	\$1,500.00	ACTBLUE
CALE, BENNETT	10/30/16	10/30/16	\$1,000.00	ACTBLUE
CHERRY, MYRON	10/30/16	10/28/16	\$1,000.00	ACTBLUE
CROWN, KAYE	10/30/16	10/28/16	\$1,500.00	ACTBLUE
FIX, ALLAN	10/30/16	10/29/16	\$1,000.00	ACTBLUE
GERHARDT, ANN	10/30/16	10/28/16	\$1,000.00	ACTBLUE
HEINEMAN, BEN	10/30/16	10/30/16	\$1,000.00	ACTBLUE
HENDLER, FRED	10/30/16	10/30/16	\$1,000.00	ACTBLUE
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LEAKE, PAUL	10/30/16	10/28/16	\$1,000.00	ACTBLUE
LEVIN, BRUCE H	10/30/16	10/28/16	\$1,000.00	ACTBLUE
MORGAN, KEITH	10/30/16	10/29/16	\$1,000.00	ACTBLUE
PALLADINO, DANIEL	10/30/16	10/28/16	\$1,000.00	ACTBLUE
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PITTS, BRADLEY	10/30/16	10/29/16	\$1,000.00	ACTBLUE
PRESTON, LESLIE	10/30/16	10/29/16	\$1,000.00	ACTBLUE
RANDALL, MARNY	10/30/16	10/28/16	\$1,000.00	ACTBLUE
SANDERS, IAN	10/30/16	10/30/16	\$1,000.00	ACTBLUE
SLATER, JILL	10/30/16	10/28/16	\$2,700.00	ACTBLUE
SMOGER, GERSON	10/30/16	10/28/16	\$1,000.00	ACTBLUE
WALLER, ELIZABETH	10/30/16	10/29/16	\$2,700.00	ACTBLUE

WAWRO, MARK	10/30/16	10/28/16	\$2,200.00	ACTBLUE
BOYER, PETER	10/31/16	10/21/16	\$1,000.00	LEAGUE OF CONSERVATION VOTERS ACTION FUND
		TOTAL	\$97,990.00	

Proposed Civil Money Penalty: \$10,077 ((2 Notices Not Filed at \$139 each) + (10% of the Overall Contributions Not Filed))



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

RECEIVED
FEDERAL ELECTION COMMISSION
2017

2017 NOV 13 AM 9:48

SENSITIVE

November 13, 2017

MEMORANDUM

TO: The Commission

THROUGH: Alec Palmer *AP*
Staff Director

FROM: Patricia C. Orrock *PCO*
Chief Compliance Officer

Debbie Chacona *DC*
Assistant Staff Director
Reports Analysis Division

BY: Kristin D. Roser *KR*
Reports Analysis Division
Compliance Branch

SUBJECT: Administrative Fine Program – Final Determination Recommendation for the
Failure to File 48-Hour Notices

Attached is a list identifying a political committee and its treasurer against which the Commission has found reason to believe (RTB) and assessed a proposed civil money penalty calculated at RTB for failure to file or failure to timely file the appropriate 48-Hour Notices for the 2016 General Election. The committee has paid the civil money penalty requested at RTB.

In accordance with 11 CFR § 111.34, the Commission shall send a final determination notice to the respondent that has paid the civil money penalty.

RAD Recommendation

- (1) Make a final determination that the political committee and its treasurer listed on the attached report violated 52 U.S.C. § 30104(a) and assess the final civil money penalty so indicated.
- (2) Send the appropriate letter.

11/16/2017 2:38 PM

Federal Election Commission
Final Determination Circulation Report
48-Hour Notification Report

APW	Committee ID	Committee Name	State	Election	Candidate Name	Treasurer	Prev Violations	Notices Not Filed	LOA	RTB Date	RTB Penalty	FD Penalty	Date Paid	Amount Paid
3339	C00582809	KATIE MCGINTY FOR SENATE	PA	2016	KATHLEEN ALANA MCGINTY	ROBERTA GOLDEN	0	2	\$97,990	09/08/2017	\$10,077	\$10,077	10/17/2017	\$10,077

BEFORE THE FEDERAL ELECTION COMMISSION

In the Matter of)
) AF 3339
Administrative Fine Program - Final)
Determination Recommendation for)
Failure to File 48-Hour Notices: Katie)
McGinty for Senate and Roberta Golden,)
in her official capacity as treasurer)

CERTIFICATION

I, Dayna C. Brown, Secretary and Clerk of the Federal Election
Commission, do hereby certify that on November 15, 2017, the Commission
decided by a vote of 5-0 to take the following actions in AF 3339:

1. Make a final determination that Katie McGinty for Senate and Roberta Golden, in her official capacity as treasurer, violated 52 U.S.C. § 30104(a) and assess the final civil money penalty of \$10,077.
2. Send the appropriate letter.

Commissioners Goodman, Hunter, Petersen, Walther, and Weintraub voted
affirmatively for the decision.

Attest:

November 15, 2017
Date

Dayna C. Brown
Dayna C. Brown
Secretary and Clerk of the Commission



FEDERAL ELECTION COMMISSION
WASHINGTON, D.C. 20463

November 15, 2017

Roberta Golden, in official capacity as Treasurer
Katie McGinty for Senate
P.O. Box 22447
Philadelphia, PA 19110

C00582809
AF#: 3339

Dear Ms. Golden:

On September 8, 2017, the Federal Election Commission ("the Commission") found reason to believe ("RTB") that Katie McGinty for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a) for failing to file 48-Hour Notices for contributions of \$1,000 or more, received between October 20, 2016 and November 5, 2016, totaling \$97,990. By letter dated September 11, 2017, the Commission notified you of the RTB finding and the civil money penalty calculated at the RTB stage totaling \$10,077 in accordance with the schedule of penalties at 11 CFR § 111.44.

On October 17, 2017, the FEC received payment of the civil money penalty calculated at the RTB stage. The FEC made a final determination on November 15, 2017 that Katie McGinty for Senate and you, in your official capacity as treasurer, violated 52 U.S.C. § 30104(a), assessed a civil money penalty in the amount of \$10,077 in accordance with 11 CFR § 111.44 and voted to close the file.

The confidentiality provisions at 52 U.S.C. § 30109(a)(12) no longer apply and this matter is now public. Pursuant to 11 CFR §§ 111.42(b) and 111.20(c), the file will be placed on the public record within thirty (30) days from the date of this notification.

If you have any questions regarding this matter, please contact Aimee Wechsler on our toll free number (800) 424-9530 (at the prompt press 5) or (202) 694-1130.

On behalf of the Commission,

A handwritten signature in black ink, which appears to read "Steven T. Walther".

Steven T. Walther
Chair

THIS IS THE END OF ADMINISTRATIVE FINE CASE # 3339